SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number: 3235-0287 | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Edwards Darrell Dewain | | | | er Name and Ticker <mark>Z-BOY INC</mark> [| | | ymbol | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|-----------------------|--|---|---|---------|--------------|-------------------|---|--|---|---|------------|--|--|
| Euwalus Da | <u>alleli Dewalli</u> | | | | - | - | | | Director | 10% (| | | | |
| , | | 3 Date | of Earliest Transac | tion (M | onth/D | av/Vear) | - X | Officer (give title below) | Other below | (specify | | | | |
| (Last) | (First) | 06/22 | | | Jiiii/L | ay/rear) | | Senior Vice Pre | esident and C | , 00 | | | | |
| ONE LA-Z-B | OY DRIVE | | | | | | | | | | | | | |
| (Street) | | 4. If An | nendment, Date of (| Driginal | Filed | (Month/Day/Y | 6. Indiv Line) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| MONROE | MI | | | | | | X | Form filed by One Reporting Person | | | | | | |
| (City) | (State) (Zip) | | | | | | | | | Form filed by More than One Reporting Person | | | | |
| | | Table I - No | n-Derivative S | ecurities Acq | uired, | Dis | posed of, | or Ben | eficially | Owned | | | | |
| 1. Title of Security (Instr. 3) | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Inst 8) | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | | |
| Common Shar | es | | 06/22/2020 | | F | | 481 | D | \$27.54 | 43,775 | D | | | |
| Common Shar | es | | 06/22/2020 | | F | | 687 | D | \$27.54 | 43,088 | D | | | |
| Common Shar | es | | 06/22/2020 | | A | | 1,447 | A | \$ <mark>0</mark> | 44,535 | D | | | |
| Common Shares 06/. | | 06/22/2020 | | F | | 414 | D | \$2,754 | 44,121 | D | | | | |
| Common Shar | es | | 06/22/2020 | | A | | 2,352 | A | \$ <mark>0</mark> | 46,473 | D | | | |

| Common Shares | | | | | | | | | 2 | 19 | 1 4 | | | | |
|---------------------------------------|--|--|---|---|---|--|-----|--|--------------------|---|--|---|--|--|--|
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
| Derivative Conversion Date | | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Stock Options (right to buy) | \$27.54 | 06/22/2020 | | A | | 33,176 | | 06/22/2021 ⁽¹⁾ | 06/22/2030 | Common Shares | 33,176 | \$0 | 33,176 | D | |

F

A

A

673

1,028

4,106

Explanation of Responses:

Common Shares

Common Shares

Common Shares

Sharoo

1. These options were granted under the La-Z-Boy Incorporated 2017 Omnibus Incentive Plan and become exercisable in four equal annual installments beginning on the date shown in the Date Exercisable Column.

Remarks:

Uzma Ahmad, Attorney-in-fact 06/24/2020

** Signature of Reporting Person Da

\$27.54

\$<mark>0</mark>

\$<mark>0</mark>

45,800

46,828

50,934

210

D

D

D

By

D

A

Α

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

06/22/2020

06/22/2020

06/22/2020

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.